SUBSTITUTED 414 Rec'd PCT/PTO 14 NOV 2000

FORM PTO-1390 (Modified) (REV 11-98) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER	
5774-01-MJA	
U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR	

INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/US99/10186	May 10, 1999	

TITLE OF INVENTION

SOLID COMPOSITIONS CONTAINING 4-AMINO-3-SUBSTITUTED-BUTANOIC ACID DERIVATIVES AND PROCESS FOR PREPARING THE SAME

APPLICANT(S) FOR DO/EO/US

Aomatsu, Akira

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
   a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
   b. ☐ has been transmitted by the International Bureau.
   c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ A copy of the International Search Report (PCT/ISA/210).
8. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
   a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
   b. ☐ have been transmitted by the International Bureau.
   c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
   d. ☐ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 13 to 20 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☒ Certificate of Mailing by Express Mail
20. ☐ Other items or information:

[illegible]/2000 MIZUNES 00000031 230455 09674819

[illegible] 860.00 CH
[illegible] 270.00 CH

Express Mail No. EJ881445812US
PD-5774-01-MJA

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR	INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NUMBER
	PCT/US99/10186	5774-01-MJA

21. The following fees are submitted:	CALCULATIONS PTO USE ONLY
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :	
☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2) paid to USPTO and International Search Report not prepared by the EPO or JPO **$970.00**	
☒ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but Internation Search Report prepared by the EPO or JPO **$840.00**	
☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO **$690.00**	
☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) **$670.00**	
☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) **$96.00**	
ENTER APPROPRIATE BASIC FEE AMOUNT =	**$840.00**
Surcharge of **$130.00** for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).	**$0.00**

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	17 - 20 =	0	x $18.00	**$0.00**	
Independent claims	2 - 3 =	0	x $78.00	**$0.00**	
Multiple Dependent Claims **(check if applicable).**			☒	**$260.00**	
TOTAL OF ABOVE CALCULATIONS =				**$1,100.00**	
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) **(check if applicable).** ☐				**$0.00**	
SUBTOTAL =				**$1,100.00**	
Processing fee of **$130.00** for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (f)). +				**$0.00**	
TOTAL NATIONAL FEE =				**$1,100.00**	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) **(check if applicable).** ☐				**$0.00**	
TOTAL FEES ENCLOSED =				**$1,100.00**	
				Amount to be: refunded	$
				charged	$

☐ A check in the amount of to cover the above fees is enclosed.

☒ Please charge my Deposit Account No. **23-0455** in the amount of **$1,100.00** to cover the above fees.
A duplicate copy of this sheet is enclosed.

☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **23-0455** A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

David Kurlandsky
Registration No. 41,505
Warner-Lambert Company
2800 Plymouth Road
Ann Arbor, Michigan 48105
Telephone: (734) 622-7304
Facsimile: (734) 622-1553

DD Kurlandsky
SIGNATURE

David Kurlandsky
NAME

41,505
REGISTRATION NUMBER

11/14/2000
DATE

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): **Akira Aomatsu**			Docket No. **5774-01-MJA**
Serial No.	Filing Date	Examiner	Group Art Unit

Invention: **SOLID COMPOSITIONS CONTAINING 4-AMINO-3-SUBSTITUTED-BUTANOIC ACID DERIVATIVES AND PROCESS FOR PREPARING THE SAME**

RECEIVED
JAN 17 2001
TECH CENTER 1600/2900

I hereby certify that the following correspondence:

Transmittal of a Substitute Transmittal Letter for Filing Under 35 U.S.C. 371
Substitute Transmittal Letter for Filing Under 35 U.S.C. 371

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231

November 14, 2000
(Date)

LINDA A. ZERBY
(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

EJ881445812US
("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.

Express Mail No. EJ881445812US
PD-5774-01-MJA

Rec'd PCT/PTO 14 NOV 2000

Docket No.: 5774-01-MJA

Certification Under 37 C.F.R. § 1.8 or 1.10

I hereby certify that this correspondence and any attachments are, on the date below, being:

☒ Deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to BOX PCT Assistant Commissioner for Patents, Washington, D.C. 20231

☐ Facsimile transmitted to the Patent and Trademark Office, facsimile number _____

Date: November 14, 2000

Signature

Linda A. Zerby

Typed/Printed Name of Person Making Certification

Express Mail No. EJ881445812US
PD-5774-01-MJA

IN THE UNITED STATE PATENT AND TRADEMARK OFFICE

Applicant(s):	Akira Aomatsu	Examiner:	
Application No.:		Art Unit:	
Filed:		Batch No.:	
Title:	SOLID COMPISITIONS CONTAINING 4-AMINO-3-SUBSTITUTED-BUTANOIC ACID DERIVATIVES AND PROCESS FOR PREPARTING THE SAME		

BOX PCT
Assistant Commissioner for Patents
Washington, D.C. 20231

Transmittal of a Substitute Transmittal Letter for Filing Under 35 U.S.C. 371

Dear Sir:

Applicant is submitting herewith a substitute Transmittal Letter to replace the previously filed Transmittal letter. Applicant is submitting the substitute Transmittal order to correctly identify the International Application PCT/US99/10186, filing date May 10, 1999, for the purposes of 35 U.S.C. § 371.

Applicant Respectfully requests that any questions with regard to the above be directed to this undersigned in charge of this application.

The Assistant Commissioner is hereby authorized to charge and credit Deposit Account 23-0455 any additional fees required.

Respectfully submitted,

11/14/2000
Date

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